

## S<sub>0</sub>-Like Lamb Mode Resonator on LiNbO<sub>3</sub>/SiO<sub>2</sub>/SiC Structure

Xinzhi Li<sup>†</sup>, Richeng Hu, Zhaohui Wu, Yiwen He, Jingfu Bao\*, and Ken-ya Hashimoto (Univ. Elect. Sci. Technol. China.)

### 1. Introduction

Kimura, et al, proposed use of the 0-th order symmetric (S<sub>0</sub>)-like Lamb mode on the LN thin plate mounted on the Bragg reflector (see Fig. 1(a))<sup>1)</sup> because of its high phase velocity  $V_p$ , is insensitivity to plate and electrode thicknesses, and large electromechanical coupling factor  $K^2$ . In addition, S<sub>0</sub>-like mode resonators can tune resonance frequencies by IDT period  $p_1$ . This feature is advantageous than XBAR<sup>2)</sup> for mass production although achievable  $K^2$  is smaller. Note that the Bragg reflector is used to suppress energy leakage to the Si base substrate.

Although higher  $K^2$  is achievable by using a free standing LN thin plate<sup>3)</sup>, the device structure is inferior in terms of power handing and physical strength<sup>4)</sup>.

Recently, Zhou, et al, proposed use of SiC as the base substrate for S<sub>0</sub>-like resonators (see Fig. 1(b)).<sup>5)</sup> SiC is known to possess very high acoustic wave velocity, and the energy leakage can be suppressed for a wide frequency range without using the Bragg reflector.

The original S<sub>0</sub> mode exists in thin plates when both the top and bottom surfaces are mechanically free. In contrast, large acoustic impedance of SiC clamps the LN bottom surface and their direct bonding is expected to degrade achievable device performances.

In this paper, the author proposed to sandwich the SiO<sub>2</sub> layer between LN thin film and SiC plate to enhance the device performances (see Fig. 1(c)).

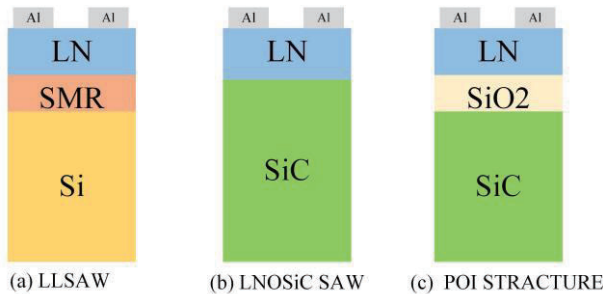


Fig. 1 S<sub>0</sub>-like mode resonator structures.

### 2. Properties of S<sub>0</sub> Mode in LN Thin Plate

First, basic properties of the S<sub>0</sub> Lamb mode are retrieved for the LN thin plate. Since it is known that

<sup>†</sup>baojingfu@uestc.edu.cn

properties of the S<sub>0</sub> mode are not sensitive to the LN plate thickness  $t_{LN}$ , we fix it at  $0.2p_1$  in the following calculations.

Fig. 2 shows variation of  $K^2$  of S<sub>0</sub> and SH<sub>0</sub> modes with the propagation direction  $\alpha$  on the X-cut LN thin plate. It is seen that  $K^2$  of the SH<sub>0</sub> mode is small and that of the S<sub>0</sub> mode is large (~27%) when  $\alpha$  is 40°. Note  $V_p$  of the S<sub>0</sub> and SH<sub>0</sub> modes are circa 6,100 m/s and 4,000 m/s, respectively, and are relatively insensitive to  $\alpha$ .

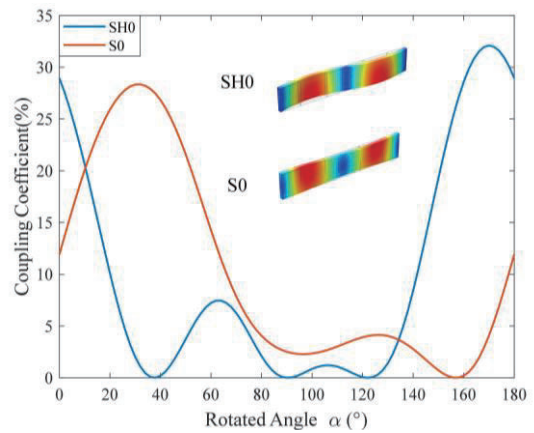


Fig. 2 Change of  $K^2$  for S<sub>0</sub> and SH<sub>0</sub> modes with  $\alpha$ .

Fig. 3 shows change of  $K^2$  and  $V_p$  with the Al electrode thickness  $t_{Al}$  when  $t_{LN}$  and the metallization ratio MR are set at  $0.2 p_1$  and 0.4, respectively. Due to the mass loading,  $V_p$  decreases monotonically with  $t_{Al}$  while  $K^2$  takes a maximum at  $t_{Al} \sim 0.04p_1$  and then decreases monotonically with  $t_{Al}$ . This dependency can be understood as  $t_{Al}$  increase enhances concentration near the top surface and results in  $K^2$  increase at first, further  $t_{Al}$  increase makes wave field asymmetry significant and results in  $K^2$  decrease.

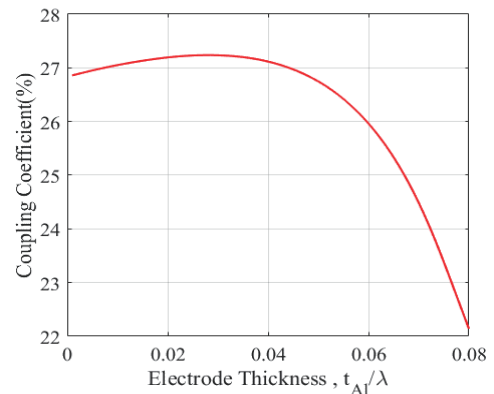


Fig.3 Change of  $K^2$  and  $V_p$  with Al electrode thickness.

### 3. Properties of S<sub>0</sub>-like Lamb Mode in LN/SiO<sub>2</sub>/SiC Configuration

Next, properties of the S<sub>0</sub>-like mode in the LN/SiO<sub>2</sub>/SiC configuration are studied. Fig.4 shows variation of  $K^2$  and  $V_p$  with the SiO<sub>2</sub> thickness  $t_{\text{SiO}_2}$  when  $t_{\text{Al}}$ ,  $t_{\text{LN}}$  and MR set at  $0.05p_1$ ,  $0.2p_1$  and 0.4, respectively. As we expected, impact of SiO<sub>2</sub> is significant:  $K^2$  increases from 15.6% to 23% with insertion of SiO<sub>2</sub> of  $t_{\text{SiO}_2}=0.08p_1$ . As a trade-off,  $V_p$  decreases a lot.

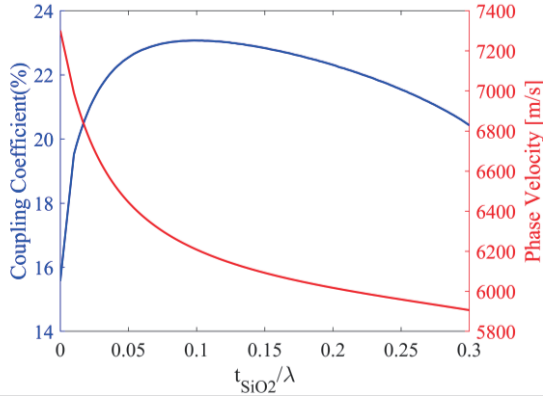


Fig. 4 Variation of  $K^2$  and  $V_p$  with SiO<sub>2</sub> thickness.

Fig. 5 compares calculated admittance  $Y$  and conductance  $G$  of two S<sub>0</sub>-like Lamb mode resonators, i.e, with and without sandwiched SiO<sub>2</sub> layer ( $t_{\text{SiO}_2}=0.1p_1$ ) when  $t_{\text{Al}}$ ,  $t_{\text{LN}}$ ,  $p_1$ , and MR set at  $0.05p_1$ ,  $0.2p_1$ ,  $2\ \mu\text{m}$  and 0.4, respectively. It is seen that the SiO<sub>2</sub> layer expands separation between resonance and anti-resonance frequencies significantly. Although it reduces  $V_p$  given by  $f_r/p_1$ , the value is still very high ( $\sim 6,300$  m/s).

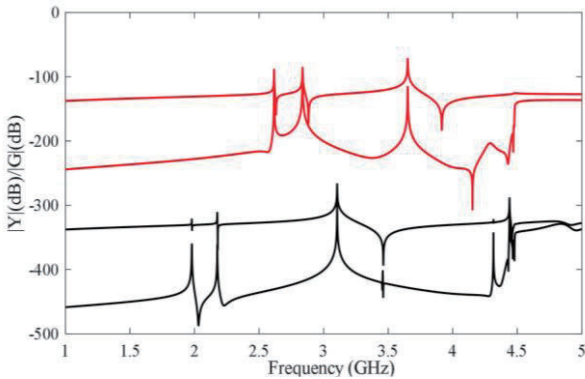


Fig. 5 Comparison of admittance and conductance between two resonators with and without SiO<sub>2</sub> layer.

The cutoff of bulk radiation is seen at 4.5 GHz, which is determined by the velocity of slow-shear wave in SiC. Theoretically, the leaky loss is zero below this frequency and finite  $G$  is due to tiny losses added in these calculation.

Two spurious resonances are seen below the main resonances, and those at 1.9 GHz and 2.1 GHz are due to Rayleigh and SH<sub>0</sub>-like modes,

respectively. They may be able to be further suppressed in some extent by finely adjusting both the rotation and cut angles of the LN plate.

Only when SiO<sub>2</sub> is added, two spurious resonances are also seen near the bulk wave cutoff. They are due to higher-order plate modes. Although these resonances can be shifted to higher frequencies by reducing  $t_{\text{LN}}$  and/or  $t_{\text{SiO}_2}$ , they will also give impacts to achievable performances and/or manufacturability.

### 4. Conclusion

This paper discussed the influence of bulk wave radiation on S<sub>0</sub> mode and the methods to suppress and avoid it. A new structure has been proposed. Compared with the conventional structures, it has several advantages.

It's shown that the inserting SiO<sub>2</sub> isolation layer can not only further separate bulk wave radiation frequency and main mode frequency but also enhance  $K^2$  significantly. Besides, SiO<sub>2</sub> has a negative temperature coefficient which can provide additional temperature compensation.

For the next step, the authors will discuss the suppression of transverse modes.

### Acknowledgment

This work was supported by the Research Project under Grant A1098531023601318 and in part by the National Natural Science Foundation of China and the China Academy of Engineering Physics under Grant U1430102.

### 5. References

- 1) T. Kimura, et al, Jpn. J. Appl. Phys. **52**, 07HD03 (2013).
- 2) V. Plessky, 2022 IEEE Int. Ultrason. Symp. ,2022, pp. 1-11.
- 3) H. Zhou et al., 2020 IEEE International Electron Devices Meeting (IEDM), 2020, pp. 17.6.1-17.6.4.
- 4) T. Kimura, et al, IEEE Trans. Microwave Theory and Techniques, **67**, 3 (2019) pp. 915-921.
- 5) H. Zhou et al., 2022 IEEE 35th International Conference on Micro Electro Mechanical Systems Conference (MEMS), 2022, pp. 1006-1009
- 6) B.A. Auld, "10.C Free Isotropic Plate," in Acoustic Fields and Waves in Solids, Vol. II, (Krieger Publishing, FL, 1973) pp.73-94.