Ultra-Wideband Longitudinally Coupled Resonator Filters On Lithium Niobate Using Periodically Slotted SiO₂ As Acoustic Coupler

Ting Wu, Hua-yong Luo, Yi-wen He, Jing-fu Bao[†] and Ken-ya Hashimoto (Univ. Elect. Sci. Technol. China)

1. Introduction

Wideband and high-frequency acoustic filters are in great demand for 5G use, especially for those new frequency bands with extremely large bandwidths $(13\% \sim 24\%)$ in sub 6 GHz.

Longitudinally coupled resonator filters (LCRFs) are attractive because they offer wider bandwidth, smaller size and better out-of-band rejection than those with ladder and lattice filters using one-port resonators. LCRFs composed of LiNbO₃-based thickness shear BAW resonators (TSBARs) [1-2] seem promising because not so small acoustic impedance Z_a is required for the coupling layer[1]. The authors indicated that flat passband with fractional bandwidth more than 24% can be achievable when SiOC is used as the coupler[3].

This paper discusses applicability of periodically slotted SiO_2 as the coupler for ultra-wideband LCRFs. The simulation results show that -3 dB fractional bandwidth more than 32% can be achievable by employing lower-order three resonances.

2. Basic operation design

of 1(a)depicts the configuration Fig. TSBAR-based LCRF under concern, where two -18°YX-LiNbO3 plates of 1 µm thickness with Al electrodes of 0.1 µm thickness are stacked vertically. Periodically slotted SiO₂ is sandwiched in between these resonators as the coupler. Here the slots are aligned parallel to the y-axis and assumed to be vacuum. Note that when the slot period P is much smaller than the lateral wavelength, the slotted SiO₂ looks like a uniform layer, and its properties are controlled by *h* and w/P where *h* and *w* are the SiO₂ thickness and width, respectively.

Let us consider the case when two outer electrodes are connected to the input and output ports while two inner electrodes are short-circuited and grounded. In this situation, these two resonators are acoustically coupled but electrically isolated. The resonance modes are categorized into two types: even and odd modes. They can be excited and

[†]baojingfu@uestc.edu.cn

detected selectively by applying voltages to two electrical ports as shown in Figs. 1(c) and (d).



First, the unit cell (see Fig. 1(b)) is analyzed by the periodic 2.5D FEM, where the periodic boundary condition is applied to both the x- and y-directions and P is set very tiny (10 nm) at first.

Fig. 2 shows the calculated admittances for even and odd modes Y_e (blue) and Y_o (red) when the *h* and *w/P* are set at 800 nm and 0.8, respectively. Two even modes and one odd mode exist in the frequency range.



Fig. 2 Admittance of even and odd resonances

3. Coupler design

Basic design principle for multi-mode resonator filters is (a) to adjust the resonance f_{ro} of odd mode to coincide with the anti-resonance f_{ae} of even mode and/or the resonance f_{re} of even mode to coincide with the anti-resonance f_{ao} of odd mode, and (b) the clamped capacitance C_0 of the resonators is close to $1/2\pi f_r R_0$, where R_0 is the circuit impedance[4].

Here we define (a) Δf_1 as the separation between the anti-resonance frequency of the first-order even-mode and the resonance frequency of the first-order odd-mode and (b) Δf_2 as the separation between the anti-resonance frequency of the first-order odd-mode and the resonance frequency of the second-order even-mode (see Fig. 2).

Fig. 3 shows how Δf_1 and Δf_2 change with *h* and w/P. It is seen that Δf_1 decreases monotonically with w/P and increases with *h* while Δf_2 exhibits opposite dependencies. Thus, both Δf_1 and Δf_2 can be set zero when $h \sim 799$ nm and $w/P \sim 0.76$.



Fig.3 Variation of Δf_1 and Δf_2 with *h* and *w*/*P*.

Fig. 4 shows the Y_e and Y_o of properly designed LCRF. It is seen that Δf_1 and Δf_2 are at 1.667 GHz, and 2.029 GHz, respectively, and k^2 of these three resonances are estimated as circa 24.6%, 18.4% and 36.6%, respectively.

Fig. 5 shows the transfer function S_{21} of the designed filter. The passband is extremely wide, and the bandwidth is about 600 MHz which corresponds to the -3 dB fractional bandwidth of 32%, which is wider than the requirement of N77 (24%). A spurious peak is seen at 2.5 GHz, which is due to the third-order even mode (see Fig. 4).

The authors also investigated influence of P to the device performances. The result indicated that P should be set lower than 1.2 µm to locate spurious modes caused by the Bragg reflection in the coupled layer far from the main resonance.



Fig.5 Calculated transfer function S_{21} .

4. Conclusion

This paper demonstrated applicability of periodically slotted SiO_2 as the coupler for ultra-wideband LCRFs. It was shown that -3 dB fractional bandwidth more than 32% is achievable by employing lower-order three resonances.

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